Mongoven, Biscoe & Duchin: destroying tobacco control activism from the inside

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Mongoven, Biscoe & Duchin (MBD) is a specialist PR firm based in Washington DC, run by professionals who have made a career of undermining consumer rights and social justice. MBD are less well-known than companies such as Hill & Knowlton, Burson-Marsteller, or Edelman PR Worldwide. They tend not to engage in public communications under their own banner, and don’t have a website, deliberately maintaining a low profile. PR-managed anti-activism is a global industry, but MBD are special, having honed a niche as expert intelligence-gatherers, helping multi-nationals to bring down advocacy campaigns both through advice and through practical, logistical support. Tobacco control activists, NGOs and even bureaucrats need to be alerted to the possibility of MBD infiltration of their activities and of their own ranks, particularly given internal tobacco industry documents demonstrating that MBD has been used by both Philip Morris and RJ Reynolds to gather intelligence and provide strategic advice to damage tobacco control efforts, including the current FCTC process.

IGNOMINIOUS BEGINNINGS

John Stauber and Sheldon Rampton from the Centre for Media and Democracy have been the conduit for most of what we know about MBD, and the following background information is drawn largely from their books, Trust us, we’re experts and Toxic sludge is good for you, in which they piece together the story of the creation and operation of the world’s leading NGO-busters. It starts with a boycott coordinated by INFACT in the late 1970s and 1980s in response to Nestlé’s active promotion of infant formula in developing countries, a practice which led to widespread infant death due primarily to lack of access to clean water. After three years of confrontation, Nestlé changed tack and hired Jack Mongoven, an ex-journalist who had served as director of press relations for the Republican National Committee and an advisor to the Nixon, Ford and Reagan presidencies. He and Rafael Pagan devised a “divide and conquer” plan to bring down the boycott not by answering NGO’s concerns, but via smoke and mirrors. They formed the Nestlé Coordination Centre for Nutrition (NCCN: Rafael Pagan, President; Jack Mongoven, Vice President) and implemented a strategy that would provide the blueprint for Mongoven’s future career. NCCN successfully recruited organisations to the pro-Nestlé camp, dividing the boycott’s support base, then established the “Nestlé Infant Formula Audit Commission” (NIFAC), an “independent” group, including former boycotters, to “monitor” Nestlé’s compliance. It was an ingenious strategy, the boycott was thwarted, and Pagan and Mongoven’s reputations were made. No longer needed at Nestlé, they formed ‘Pagan International’ and worked for the defence, chemical, pharmaceutical, and food industries until a scandal scuttled the company and Jack Mongoven left to form MBD with Alvin Biscoe and Ron Duchin.

STRATEGIC ATTACK

Ron Duchin graduated from the US Army War College, and served as special assistant to the Secretary of Defence and director of public affairs for the Veterans of Foreign Wars (VFW) before joining Pagan International and then MBD. In 1991 he gave a speech to the US National Cattlemen’s Association describing how MBD works to divide and conquer activist movements. Duchin explained that activists fall into four categories: radicals, opportunists, idealists and realists, and that a three-step strategy was needed to bring them down. First, you isolate the radicals: those who want to change the system and promote social justice. Second, you carefully ‘cultivate’ the idealists: those who are altruistic, don’t stand to gain from their activism, and are not as extreme in their methods and objectives as the radicals. You do this by gently persuading them that their advocacy has negative consequences for some groups, thus transforming them into realists. Finally, you co-opt the realists (the pragmatic incrementalists willing to work within the system) into compromise. “The realists should always receive the highest priority in any strategy dealing with a public policy issue... If your industry can successfully bring about these relationships, the credibility of the radicals will be lost and opportunists can be counted on to share in the final policy solution.” Opportunists, those who are motivated by power, success, or a sense of their own celebrity, will be satisfied merely by a sense of partial victory.

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MBD specialises in providing this strategy to its clients in a customised form based on “public policy intelligence” specific to their concerns. Corporations pay a large monthly retainer for the privilege: RJ Reynolds, for example, paid MBD a retainer of US$14,000 (£10,000, Euros 16,078) per month in November and December of 1994. MBD maintain extensive files on organisations and their leaders including personal biographies, funding sources and susceptibility to co-optation. They also produce special reports, which they sell to their corporate clients for sums upward of US$1000 (£714, Euros 1148). They get their information by joining mailing lists, reading newsletters and other publications, and using spies (people generally claiming to be concerned citizens or freelance journalists) to gather inside information at advocacy events and from within advocacy organisations, sometimes infiltrating the organisation and gathering information over long periods.

MBD also uses mail and telephone “surveys” of activist organisations, generally framing their true objectives euphemistically. In 1995, The Wilderness Society, an Australian environmentalist group, received a letter from MBD. Attached information described MBD as a firm “committed to the concept that corporate decision makers must develop a better appreciation of the public interest movement”, and described
MBD's role as "assist[ing] clients in developing long-term strategies to resolve contentious public policy issues in a balanced and socially responsible manner." The letter demonstrates the international nature of MBD's efforts, stating that "increasingly, our clients have been seeking information and guidance concerning developments in Asia. To that end, MBD has set out to develop a series of 'profiles' of some of the leading non-governmental entities in Asia. We received exceptional levels of cooperation from NGOs in Europe and Latin America for previous projects, and we hope that the Asian community will be equally helpful..." A long list of specific questions was attached, including "who are your principal officers and staff?"; "what is your annual budget and what are your sources of funding (foundation grants, membership donations, etc.)?"; and "what are your most recent campaigns and achievements?" The Wilderness Society was aware of MBD because of the Centre for Media and Democracy’s work, and forwarded the material to PR Watch.4 Despite the misleading descriptions of MBD’s function in their surveys, and the assumed identities of MBD spies, MBD’s representatives always claim to be "outraged" at suggestions that their information gathering amounts to espionage.1,2

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**MBD AND TOBACCO CONTROL**

Pagan and Mongoven had loose business links with the tobacco industry as far back as the 1980s, representing NCCN on the League of United Latin American Citizens Business Council along with Peter G Sparber, Vice President of the Tobacco Institute. By the 1990s, MBD was working directly for the industry. A 1993 list of corporate affairs expenses for Philip Morris Companies Inc. includes US$85,500 (£60,714, Euros 97,618) for the services of Jack Mongoven. The justification of the expense demonstrates the synergistic role Mongoven has played within the Philip Morris group of companies:

"Mongoven has a very unique niche. At our request, he will do investigatory work on various activist groups and flag problems, i.e. EDF [Environmental Defence], animal rights groups, etc. KGF [Kraft General Foods] uses the majority of his services. This contract ought to be split between KGF and WRO [Washington Regulatory Office]."21

Robert Blumel and Ronald Duchin consult primarily for RJR. The earliest reference to Duchin is in 1986, noting that he was an ally who presented pro-industry arguments at military

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corporate watchdog organisation that went head to head with Mongoven during the Nestlé boycott in the 1970s and 1980s. MBD have advised both RJR and PM regarding INFACT, in ways which differed substantially in tone. In 1995 Duchin was suggesting that the joint participation of INFACT and the Interfaith Centre on Corporate Responsibilities (ICCR) in the FDA tobacco regulation issue was a significant threat that he could manage for RJR: “the involvement of both INFACT and ICCR in any activist campaign brings a unique dimension to the issue - the power of perceived church sponsorship of the activist groups. MBD has been following the two organisations and their leaders for many years. We know their styles of leadership and their philosophies they adhere to. We can be of help to RJR in monitoring and developing strategies relative to these groups and their activities.”

Less than three years later, Mongoven was slamming his old enemies to PM. His detailed report on INFACT’s Hall of Shame provides details such as planned targeting of board members, the text of letters to be sent to them, and the predicted course of the campaign. Overall though, Mongoven appears to be aiming to paint INFACT as being rudderless and grasping at causes for the sake of engaging in activism, while being careful to cover his risk-management bases. “Despite INFACT’s grandiose plans and threats, we do not believe that the organisation represents the threat that it once did. It is important to note, however, that demonstrations at corporate annual meetings are often disruptive and embarrassing to corporate management.” These contrasting framings of INFACT raise some questions, impossible to answer from the documents, about the extent to which MBD play their clients as hard as their opponents. Mongoven and his colleagues have worked to gut causes such as the prevention of infant death in developing countries and the fight against apartheid: high moral principles are notably absent. MBD’s advice on IAQ and on INFACT may have differed because of changed circumstances. Alternatively perhaps even corporations should be wary of professionals who specialise in spin.

WORKING AGAINST THE US FOOD AND DRUG ADMINISTRATION (FDA)

RJR commissioned MBD to actively work against the FDA’s attempts to regulate tobacco in 1994–95. As always with Ron Duchin, it seems, the strategy included co-optation of veteran’s groups and the use of third party strategy (“develop an entity”):

“MBD has now undertaken to assist RJR with its FDA project to obtain one million plus signatures in opposition to the FDA’s potential regulation of tobacco products. MBD will develop an entity and message to provide petitions to local posts and grassroots veterans organisation… additional signatures can be obtained from members of social and fraternal organisations which work closely with veterans posts.”

A fax from the Senior Director of RJR’s Public Issues Department gives the most detail about the advice Robert Blumel was giving on the FDA process, and suggests some incredulity:

“Faxed proposed rule to Bob Blumel on Thursday and followed up with him today. Blumel feels ($5) that getting Congress to take an interest in the issue will be easier as it can be argued that the cost of the regulations will add to the deficit. He suggests a cost benefit analysis or study from one of the groups and their activities.”

Blumel certainly appears to have been gathering information from inside both Congress and the FDA on the proposed regulatory changes. The meaning of the aside “($$$)” is unclear, but it is possible that Hyde was referring to the cost of Blumel “feeling” anything on behalf of RJR. MBD certainly didn’t have an open chequebook to pursue the FDA process, as evidenced by Duchin’s suggestions four months later that for a fee he could investigate the NGOs’ lobbying of the FDA.

CHARACTER ASSASSINATION RESEARCH

A particularly nasty specialty of MBD’s is preparing back- grounders on individuals who lead tobacco control efforts, presumably to enable the industry to discredit them in the eyes of the public or decision makers. Philip Morris specifically requested that MBD investigate Dr Sydney Wolfe of the Health Research Group (HRG), Cliff Douglas of the American Lung Association and Scott Ballin of the American Heart Association in 1992. MBD sent information they already had on file and advised “If we had a day or so we could expand on this information significantly.” As well as general career path and network information, Mongoven’s somewhat desperate attempts to identify a character flaw involve an association with prominent consumer advocate Ralph Nader and a very tenuous suggestion that this may have influenced the awarding of a grant. Far more vicious is MBD’s work on Dr Gro Harlem Brundtland in relation to her appointment as Director General of the WHO. Brundtland moved tobacco control to the top of the WHO priority list on her appointment, thus posed a significant threat to the industry. In 1998 Mongoven provided intelligence both on the appointment process and Brundtland’s loyalties, predicting that she was certain to be elected to the position. Six months later, Jack Mongoven provided a memo bluntly entitled “Brundtland and Whales”, which highlights the fact that Norway engaged in whaling during the period that Brundtland was Prime Minister, in opposition to the 1986 moratorium declared by the International Whaling Commission (IWC). A folder of materials found in Matt Winokur’s office labelled “WHO Planning” contained the Greenpeace publications which were attached to this memo. Criticism of continuing whaling is readily justified, but from the mouth of Jack Mongoven, committed opponent of animal rights and environmental concerns, it is highly ironic.

WORKING AGAINST THE GLOBALISATION OF TOBACCO CONTROL

The final area in which MBD has worked for the industry is in undermining WHO processes, particularly the Framework Convention on Tobacco Control (FCTC). The FCTC was initiated in 1996 by the World Health Assembly, an association of 161 governments under the auspices of the WHO. It is due to be delivered by May 2003 and will provide legally binding guidelines for international governance on tobacco control. Any convention is generally associated with a set of protocols. These are detailed legal instruments on particular sub-issues (in the case of tobacco, issues such as advertising or taxation), which can be written consecutively with or following the completion of the convention itself. An ongoing tension exists in any convention process because protocols are individually ratified, separate from the convention itself. Thus a framework convention negotiation can pursue one of two paths: a weak convention which most countries will ratify plus strong protocols which may be delayed indefinitely and to which few will sign; versus a strong convention, which may be ratified by fewer countries but will provide an international standard for comprehensive global governance. The FCTC and its protocols must be accepted by the WHA before being ratified, and every member-state of the WHA has one vote. Thus every nation-state in the WHA has at least a nominally equal say in
the final “yes or no” decision, although the political and fiscal reality is far more complex. The FCTC development process started with two working groups in 2000, which set out an initial framework. It is now continuing in a series of sessions of the Intergovernmental Negotiation Body (INBs). There have been three INBs – a fourth is planned for March 2002. The secretariat for all of the formal meetings of the FCTC is the Tobacco Free Initiative (TFI), a WHO cabinet project created by Gro Harlem Brundtland at her appointment in 1998 to focus international attention, resources and action on global tobacco control. NGOs formally recognised by the WHO can observe and make formal “statements” at FCTC meetings: NGO meetings are therefore held to precede and coincide with FCTC meetings. Opportunities for lobbying occur at the INBs, and also at Regional Intersessional Meetings. These meetings occur within the six WHO “regions” (Africa, The Americas, Eastern Mediterranean, Europe, South-East Asia and Western Pacific) as well as within other groupings (eg ASEAN). They are not part of the formal process, occurring at the region’s discretion, but groups of nations can and increasingly do speak formally at meetings of the INB.

In June 2000, a WHO “Committee of Experts” released a report entitled Tobacco company strategies to undermine tobacco control activities at the World Health Organisation. In it was detailed evidence of a wide range of deliberate ploys by big tobacco to “contain, neutralise and re-direct” WHO tobacco control activities. Based on what they had found, the committee concluded “it is likely that tobacco companies will attempt to defeat the proposed Framework Convention on Tobacco Control, or to transform the proposal into a vehicle for weakening national tobacco control initiatives. Such a campaign is likely to be sophisticated and sustained, and to use tactics similar to those described in this report.” The expert committee was absolutely right. From 1997, MBD has been working against the Framework Convention on Tobacco Control (FCTC) process for Philip Morris, and advising PM on undermining the WHO in general (in addition to the energies directed against the Director General personally). In August of 1998 Mongoven analysed the framework convention process, including “strategic recommendations and case studies of past and on-going negotiations.” Mongoven starts by ensuring that his client is convinced of the seriousness of the situation. “Once a framework convention reaches this stage a final product is virtually inevitable”, he counsels, and tobacco executives should learn from the Framework Convention on Climate Change, in which industry did not participate early because they made the mistake of assuming that the US would not be a signatory. When they realised that their predictions were misplaced, they were forced to “fight a decidedly uphill battle against activists.”

“Activists are aware that the existence of a framework convention allows them to gradually escalate the severity of restrictions through adoption of additional protocols never envisioned at the time of treaty ratification . . . In conventions where industry has participated from an early stage it has played an important role in shaping the framework convention and thus the protocols. The pharmaceutical industry’s involvement in the Framework Convention on Biodiversity is a good example of Western industry participating in the development of what could have been a very onerous agreement but which has become an acceptable regulatory regime.”

Action is thus required to influence both the treaty and the protocols, Mongoven advises:

“The first alternative to an onerous convention is to delay its crafting and adoption. Since the US Congress only enters this issue when the treaty must be ratified, the current administration will be responsible for US input and negotiations of the treaty. Any pressures to delay the finalisation of the convention would require the combined efforts of several individual or coalitions of countries and various NGOs.”

Mongoven advises that the working groups are the key site of influence for NGOs, and that “the key intervention points to delay or strongly influence movements in negotiations are the biennial meetings of the WHA [World Health Assembly] where all the individual nation-states participate . . . The first target WHA would be 1999. Any strategy to deal with same would have to be in place by mid-1998.”

“Aside from delaying the adoption of a convention the company is best served by participating in the development of the agreement. It would be in the company’s interest to have the treaty focus entirely on protecting children and leaving adult choice protected . . .”

“Any effort to influence the convention finally adopted will require a highly sophisticated and well coordinated central strategy . . . the corporation must have a cohesive and consistent strategy and focal point or it will be working against itself in an international tribunal . . . Compromises can be significantly influenced, but to do so requires a clear cut decision to do so, agreement on specific objectives to be sought, a comprehensive strategy to achieve those objectives and a central structure to implement the strategy worldwide.”

Mongoven worked hard for PM in the last months of 1998, but many of the reports he wrote have not been included in Philip Morris’ online document collection. From covering memos we know that he completed an analysis of the creation and roles of NGOs in relation to UN processes, a briefing paper on “the background and current thinking at WHO on tobacco control”, which was to be used for lobbying purposes, and a paper analysing International Framework Convention issues. The memo covering the WHO paper suggests that Mongoven is making the most of UN contacts: “As you can read from our memo on the interview with Mr Uranga, WHO is stepping on a lot of toes - perhaps something we ought to watch more closely.”

Not long afterwards, MBD were asked by PM’s Matt Winokur, director of corporate affairs for PM International in Washington, to “look into” another WHO-sponsored event, an International Policy Conference on Children and Tobacco, held in Washington DC on 18 March 1999. The conference aimed to devise healthy public policy, and was a relatively closed and expert affair rather than a general information-sharing opportunity.” In MBD’s analysis of the media coverage of the conference, Mongoven also delivers the inside word on the international framework convention, noting that he had had a private conversation with TFI staff about their plans to enlist the support of US politicians for the FCTC, and that TFI were “very encouraged by the receptivity of American policymakers to advancing the IFC.”

By April, Mongoven had moved on from general background papers to specific analyses of components of the FCTC, was starting to focus on the NGOs involved in the process, and was reporting monthly on his ongoing investigations. He reported that an NGO meeting was scheduled at WHO on 15 and 16 May to coincide with the World Health Assembly in Geneva, organised by the International Non Governmental Coalition Against Tobacco (INGCAT), at that time run by Karen Slama. “The purpose of the meeting is to mobilise important international organisations which have not taken a stand against tobacco . . .” Slama has scheduled a press conference for May 14 which we will cover.”

That day Mongoven also forwarded an intelligence report on activities of the Tobacco Free Initiative. “The report lists the TFI activities in process and the personnel involved, and details methods for selecting tobacco control activists for awards on World No Tobacco Day 1999, identifying one of the planned recipients. The TFI’s activities would objectives are . . .”

“The review of the Children’s Rights Convention with reference to tobacco is almost completed. The lawyer handling it is...
finishing his TFI contract next month, and will move on to work with UN High Commission of Refugees . . . The UNICEF Adolescent smoking survey in nine countries is about to begin and continue for two months. Apparently, some problems still have to be resolved but the launch is being planned. The survey will be handled by education authorities and tobacco-control NGOs in the participating countries . . . GlobaLink and TFI are developing a joint web-site with help from IUAC . . . Framework convention is still in its political support-seeking stage. WHA is expected to either give it impetus or downgrade it at its upcoming meeting. It appears there is some reserve on the part of some representatives to make it as high a priority as the Director General has. Drafters of the IFC are making slow headway and have yet to come up with a concrete outline they can agree on."

By June 1999, as the document evidence begins to thin out, there is proof that PM was following through on MBD’s advice. The last two documents, from mid-1999, suggest that PM was engaging with the 1999 World Health Assembly, as suggested by Mongoven in 1997, and that they may be approaching the FCTC problem in terms of regions. Winokur emailed Anne Kush and others on 6 June regarding “draft cover for followup to regions,” advising “I’ll get Mongoven’s transcripts sent up by disc so we can send those out as well,” and on 18 June he distributed “revised country comments at WHA compiled by MBD”. The available documents finish at that point, but the FCTC process continues, and so does MBD’s involvement. On 13 March 2000 in Washington DC, the US Centres for Disease Control and Prevention held public hearings to solicit “comments from the public” on the FCTC. Attending, but not presenting, was a Mr Matthew Vanek, listed as a “Policy Analyst”, from MBD. The fourth round of negotiations of the Framework Convention on Tobacco Control (FCTC) will be held in Geneva from 18–23 March 2002, and it seems highly likely that MBD are continuing to monitor developments and advise PM as to how to undermine the process from within.

CONCLUSION

The most worrying of all of MBD’s efforts is their current engagement with the FCTC process. There is no reason to think that MBD have stopped working for Philip Morris on the issue; and it is probable that PM has a centralised coordinated strategy to undermine the FCTC as recommended. The question is one of method – how they are approaching the problem. There are several clues from the documents:
1 an intention to delay the FCTC;
2 an intention to focus the convention on children, framing the issue as one of “adult choice”; an interest in what content is included in the convention and what is left to be dealt with in the protocols;
4 a recommendation that the “combined efforts of several individual or coalitions of countries and various NGOs” would be required;
5 a focus on the meetings of the World Health Assembly as key intervention points, suggesting an intention to co-opt the votes of individual nation-states through lobbying; and
6 an interest in approaching the issue by region.

Certainly since the inauguration of President Bush in 2001, one of the individual nations exerting influence favourable to the industry has been the United States. This is unsurprising in light of Mongoven’s watertight Republican links and the US’s status as a tobacco exporter. The US are not the only country putting forward positions favourable to the industry: tobacco industry operatives have in fact attended FCTC negotiations as “representatives” of countries with nationalised or influential tobacco industries. WHO’s system of “regions” is also a potential weakness, because each contains at least one country which is weak on tobacco control and likely to support the interests of the industry, and it may be this potential portal which MBD were exploiting in their “followup to regions”.

The question of the strength of the convention, including the choice of matter to be left for the protocols, is likely to be a central consideration of INB4, and there is enormous potential for the industry, via operatives like Mongoven, to “divide and conquer” the process and its participants on this issue.

Two major questions arise regarding the potential influence of Philip Morris on the FCTC via Jack Mongoven. Firstly, how the FCTC is progressing. Although the WHO is making official papers available, the complex process is not readily comprehensible to the untrained eye, there are few concrete outcome measures against which to judge, and evaluation or criticism is left largely to the NGOs. The second question is around the sources of Jack Mongoven’s intelligence. The loyalties of the participants in the FCTC process, however peripheral or central, whether non-government, government, “independent” or press, must be made transparent.

Both advocates and industry would do well to treat Mongoven, Biscoe & Duchin with caution. In Mongoven’s world, public health, consumer advocacy, and “the kind of fuzzy thinking which brought us the likes of the precautionary principle” must bow before the might of corporate “science”. Rampton and Stauber point out that MBD have created their own form of scaremongering where the industry is an “innocent giant under attack from radicals”, mobilizing grand narratives of modernization, technology and western values against the “threats” of community concern and the developing world. MBD is not the only PR organisation which gathers intelligence on advocates, and of course advocacy campaigns sometimes use the methods evidenced here: strategic thinking about the strengths and weaknesses of an opponent, using contacts to gather information, selecting the best possible mouthpiece for media coverage. The difference is the distinctly unscientific realm of morality and conscience. MBD are clearly pragmatic about reaching their “issue management” objectives and unconcerned about the impact they have on disempowered others. The challenge to tobacco control is to put procedures in place that will ensure MBD and organisations like them do not impact on local and global tobacco control policy and practice. It seems likely that at both INB4 and the 55th World Health Assembly they will be working for their corporate masters to isolate the radicals, re-educate the idealists, flatter the opportunists and co-opt the realists.

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