Smokeless tobacco use among professional baseball players: survey results, 1998 to 2003

H H Severson, K Klein, E Lichtensein, N Kaufman, C T Orleans

Objective: The use of smokeless tobacco (ST) (snuff and chewing tobacco) has long been associated with baseball in the USA. This article reviews six years of survey data from major and minor league baseball players to evaluate trends in use and quitting patterns over time in order to gain insight into the effects of past interventions and to document continued intervention needs.

Method: Surveys were distributed by athletic trainers to major and minor league professional baseball players during spring training session in the six years from 1998 to 2003. The surveys were anonymous and identified only by team, level of league, and other self reported demographic data.

Results: ST use among professional baseball players remains much higher than among young males in the general population, and use is most prevalent among white non-Hispanic players. There was a significant decrease in ST use among minor league players from 1998 to 2003, with seven day self reported use declining from 31.7% in 1998 to 24.8% in 2003. No significant year to year changes were observed for major league players. Major league players’ self reported past week use rates, estimated at 35.9% in 1998 and at 36% in 2003, were consistently higher than those of minor league players. Self reported prevalence of past month cigarette and cigar smoking was much lower than ST use for both major and minor league players.

Conclusions: Six years of survey data confirm a continuing high use of ST among professional baseball players. Results suggest that the effects of the broad spectrum ST control efforts launched over the past decade may have been stronger among minor than major league players. Stronger policy interventions at the major league level and multi-level efforts, including programmes to increase the use of effective quitting aids and assistance, at both levels of play are needed. Future research is needed to further clarify changes in ST practices among professional players and set policy intervention directions.

The association between baseball and ST is present in college and high school players as well. In a 1991 survey, college varsity baseball players were twice as likely to use ST as football players, with 52% of baseball players reporting regular use. Varsity baseball players were more than twice as likely to use ST as intramural baseball players. While rates of regular use of ST are lower among high school baseball players, occasional use of and experimentation with ST is quite prevalent. Studies of high school players have found current use rates ranging from 6–15%, with 35–46% having used ST at least once. The majority of professional baseball players began using ST in their late teens, with a median age of initiation between 17–18 years.

Although ST use is relatively high among professional baseball players, many players have quit. Among professional baseball players, between 12.6–16.5% report they are former ST users. Another study found that almost half of current users (47%) had made at least one previous quit attempt. The primary reason players have reported for wanting to quit was “health concerns”. Among college players, 4.5–11% had previously used ST regularly, but were no longer users, and 10% of high school baseball players reported having quit.

While there are no restrictions on ST use by major league baseball players, there has been a ban on the use of ST by minor league players since 1992. The use of ST has also been banned by the National Collegiate Athletic Association (NCAA) for college baseball players since 1994. Similar bans also exist for youth baseball leagues such as Little League.
League, Babe Ruth League, American Legion, and USA Olympic Baseball.15

In order to address the growing use of spit tobacco among young men, and especially among baseball players, Oral Health America’s National Spit Tobacco Education Program (NSTEP) was founded in 1994 with the goal of educating major and minor league players and their trainers and fans about the harms of ST use and addiction, and about effective cessation methods. In 1998 NSTEP began offering soft tissue oral examinations, cessation counselling, and educational presentations on the causes and effects of oral cancer to major and minor league players and coaching staff during spring training. Additional intervention involved providing cessation training to athletic trainers, distribution of non-tobacco oral substitutes, and public service announcements at the games and on televised broadcasts.

Although previous studies provide some data on ST use among professional baseball players over the past two decades, most were one time surveys that sampled only a small subset of professional teams. The surveys described in this paper were conducted to update findings from previous surveys and provide useful data on cumulative effects of broad spectrum policy changes and targeted interventions on professional baseball players. The results of this survey provide information to guide continued and future intervention efforts.

Cross sectional surveys of major and minor league players making up the spring training rosters of all professional clubs were conducted yearly at spring training from 1998 to 2003. Surveys were designed and conducted by a team of investigators at the Oregon Research Institute in collaboration with Professional Baseball Athletic Trainers Society (PBATS) trainers and under the auspices of NSTEP.

The aims of these annual surveys were to examine changes in patterns of ST use and cessation over time among both major and minor league players, and to gain insight into the cumulative impact of over a decade of multi-level intervention strategies designed to curb use and promote cessation within this population. Survey questions examined general ST use and cessation patterns, related tobacco use patterns, and exposure to varied elements of the NSTEP programmes. Included in this paper are the results from the six years (1998 to 2003) of this survey.

METHODS

Recruitment

Players were recruited from all 30 major league organisations during spring training from 1998 through 2003. During spring training both major and minor league players are housed in the same complex, which allowed us to send one packet of surveys to the head athletic trainer of each club for distribution to both major and minor league players. Minor league players at three levels (single A, double A, and triple A leagues) were included in those surveyed. All surveys were anonymous; only team identification was obtained. Therefore, longitudinal tracking of individual players’ tobacco use over time was not possible.

The number of survey respondents ranged annually from 1356 to 2442 minor league players and 136 to 454 major league players (table 1). A full minor league spring training roster can include up to 200 players, as these players will subsequently be assigned to five or six minor league teams at different levels of minor league baseball. A major league team roster during spring training typically has 50–60 players trying to make the final roster. Approximate return rates (based on the conservative assumption that each team invites approximately 250 players to spring training) were: 28% in 1998; 23% in 1999; 25% in 2000; 33% in 2001; 35% in 2002; and 31% in 2003. Return rates were affected by both athletic trainers’ cooperation in distributing the surveys and players’ choosing to complete and return them. Response rates for different teams varied widely each year, and varied from year to year within a particular team. Although we received surveys from 20–25 of the 30 teams each year, only about a quarter of the teams returned more than 100 surveys and approximately one third returned 50 or fewer surveys. Therefore while some teams were adequately represented in the annual sample, other teams were represented by only a small proportion of their players.

RESULTS

Smokeless tobacco use

Players’ reported seven day point prevalence of ST use is shown in table 1.1* ST use among major league players surveyed showed no overall change in prevalence with 35.9% reported in 1998 and 36.0% reported in 2003 ($\chi^2(4, n = 1508) = 2.7, p > 0.05$) (major league players were not surveyed in 2001). Post hoc $\chi^2$ analyses comparing use rates from each year to the subsequent year found no significant yearly changes in use. Self reported ST use prevalence was consistently higher among major league than minor league survey respondents.

ST use among minor league survey respondents did show a significant overall change in usage rates over time, declining from 31.7% in 1998 to 24.8% in 2003 ($\chi^2(5, n = 11589) = 29.0, p < 0.001$). This was primarily driven by a substantial drop from 1998 to 1999 ($\chi^2(1, n = 3156) = 10.8, p < 0.001$). Post hoc $\chi^2$ analyses found no other significant changes in ST use from year to year, with rates remaining fairly steady from 1999 through 2003, around 25–26%.

The surveys also provided data on frequency of ST use among regular users (those who reported using at least once in the past seven days) (table 2). The percentages of players who used ST on a daily basis showed a slight drop over the years of the survey. Among players who were regular users, the average amount of ST consumed remained fairly constant. Those who reported regular use of ST reported a mean of five days’ use per week, and that a tin of tobacco lasted them an average of about four and a half days.

Each year players were asked to report their race or ethnicity. In 1998 there was a very low response rate (51%) to this question because of the placement and wording of the question. Therefore, race data are reported for 1999–2003 only. Table 3 displays the percentage of players within each racial or ethnic group who reported current ST use each year. Major league and minor league players reported very similar patterns of ST use by ethnicity; therefore, we collapsed across league and the numbers shown in the table represent all players. Given the low numbers of native Americans, Asians, and players checking “Other”, meaningful comparisons could not be made, so we focused primarily on white, Latino, and African American players in making comparisons by race. White players reported the highest prevalence of ST use, approximately double that of Latino or African American players.

Tobacco use and baseball

In three of the six annual surveys (2000, 2001, 2003), respondents were asked how their ST use changed during the
off season. Fewer than half of both minor and major league players reported that they quit (30–40%) or reduced (35–40%) consumption when not playing baseball. Players reporting the same or greater use in the off-season also reported using ST on more days of the week (M = 5.81, SD = 2.07), than players who quit in the off-season (M = 3.60, SD = 2.41) (t(326) = 8.49, p < 0.001, d = 0.97, η² = 0.18). This same pattern held among both minor and major league players and suggests a greater level of dependence or addiction among those who use ST year round.

In 2000 and 2001, ST users were asked to rate their level of agreement with items reflecting motives for using ST. In both years, most players described their use as something to do, a habit or ritual, and approximately 40% indicated that they were addicted or that ST helped them to relax, other potential indicators of addiction and related withdrawal effects. There was a strong correlation between endorsing addiction as a reason for use and the amount of tobacco a player used. Players describing themselves as addicted to ST reported that their tins lasted fewer days (r = −0.52, p < 0.001) and reported using ST on a greater number of days during the past week (r = 0.50, p < 0.001) than ST users who did not. Few players reported ST use as a coping strategy (such as chewing to deal with anger or depression), or as a concentration or weight control aid, and only 6% believed that ST helped to improve their on field performance.

Starting in 2000, the survey included questions on readiness to quit, reasons for quitting, and supports used to quit. Table 4 shows the responses of regular ST users from 2000 through 2003, combining major and minor league players. Findings show substantial interest in quitting among players, with 40–50% of players making a quitting attempt at least once in the previous year. A contemplation ladder was used to assess players on where they fell along a continuum of readiness to quit ST, using the stages of change model. The majority of players were at least considering quitting, and the majority of players were at least considering quitting, and many were taking action to quit. Only 11–12% of players reported no interest in quitting in any of the four years. The most frequently checked reasons for wanting to quit were concerns about the health effects of use, especially cancer. Over a third of players also noted concerns about negative role modelling, and almost as many reported that their significant other did not like it.

The surveys also asked about the helpfulness of various aids to (and supports for) quitting ST. Support from partner or spouse was the most commonly used support and was also rated as the most helpful. Players often reported that a dental exam and advice from a dentist were important aids in quitting (table 4). Many players had access to a dentist through NSTEP. NSTEP also provided motivational presentations on cessation methods and aids, and self-help quitting guides and materials on risks of ST use. Over a quarter of players indicated advice from an athletic trainer, PBATS and NSTEP activities for trainers stressed effective brief counseling, pharmacotherapy, and specialist referral as treatment options for players from 1998 through 2003. Surprisingly few players reported using pharmacotherapy or specialist counselling. Table 5 shows the percentages of ST users who participated in varied NSTEP programmes each year.

### Use of other tobacco products

While our primary focus was on smokeless tobacco, we included questions on other forms of tobacco use. Thirty day point prevalence of cigarette and cigar smoking was substantially lower than the seven day point prevalence rates of ST usage (table 6).

Minor and major league players reported similar prevalence of cigarette smoking, whereas major league players reported significantly higher prevalence of cigar smoking (collapsing across years, eliminating 2001 because only minor league data are available, χ²(1, n = 8326) = 134.8, p < 0.001). Prevalence of cigarette smoking showed a significant decrease over time among both minor (χ²(5, n = 11554) = 117.7, p < 0.001) and major league players, χ²(4, n = 1507) = 012.5, p < 0.025). Prevalence of cigar smoking also showed significant downward trend over time among both minor (χ²(5, n = 11554) = 138.6, p < 0.001) and major league players, χ²(4, n = 1505) = 20.3, p < 0.001).

Cigarette and cigar smoking rates across race/ethnicity showed patterns similar to that of ST use. There were so few native American and Asian players that comparisons within these groups were not meaningful. Among white, Latino, and African American players, cigarette smoking was more prevalent among white players than among Latino and African American players. White players report about twice the prevalence of cigarette smoking (ranging from 5.5–11.0% across the six annual surveys, major and minor league combined) as African American players (3.8–5.4%), and about four times the prevalence reported by Latino players (1.7–3.0%). African American players are most likely to report smoking cigars (3.5–14.7%), followed by white (3.3–9.1%) and then Latino players (2.1–6.8%).

### Table 1

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<tr>
<th>Year</th>
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<tr>
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<td>31.7%</td>
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<tr>
<td>1999</td>
<td>26.3%</td>
<td>34.5%</td>
</tr>
<tr>
<td>2000</td>
<td>25.7%</td>
<td>32.6%</td>
</tr>
<tr>
<td>2001</td>
<td>25.9%</td>
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<tr>
<td>2002</td>
<td>27.0%</td>
<td>30.2%</td>
</tr>
<tr>
<td>2003</td>
<td>24.8%</td>
<td>36.0%</td>
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*NA, not available.*

### Table 2

<table>
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<th>Year</th>
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<tr>
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<td>5.3%</td>
<td>5.7%</td>
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</tr>
<tr>
<td>2003</td>
<td>4.7%</td>
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</table>

*Among those reporting use in past seven days.*

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were subject to stronger policy and environmental influences throughout the years of the surveys as there has been a ban on use of ST while in uniform and players can be fined for infractions. This policy has been well publicised but enforcement varies widely as it falls upon the umpire to cite the player. In addition, players coming from college teams would have been subject to the NCAA ban on ST use implemented in 1994. These findings suggest that sustained multi-level policy and programmatic efforts influenced ST use by minor leaguers. While the majority of players report using ST before becoming professional, 36% of minor league players report taking it up after they became pro.

In contrast to ST usage, baseball players report a substantially lower prevalence of cigarette smoking than the general population, both among major (14.4% in 1998 to 10.3% in 2003) and minor (13.1% in 1998 to 4.2% in 2003) league players. Nationwide rates of smoking cigarettes in the past month for males ages 18–24 years were 31.3% in 1998 and 32.4% in 2003.16 17 Cigar smoking rates were similar to rates among the general population.18 Lower prevalence of cigarette smoking among baseball players may be due to the increasing perception of smoking as an unhealthy habit, especially for athletes. It would seem that in the baseball culture, ST, although it has much potential for causing negative health effects, is seen as a more acceptable form of tobacco to use.

Given low and variable response rates and the possibility of self selection and self report biases, the results from these surveys may over- or underestimate actual ST prevalence among the professional baseball players surveyed. However, findings suggest substantial current prevalence at rates that are consistently to be higher than those in the general population of young males. Cigarette and cigar usage rates appear lower than typical among young males, indicating that ST remains the tobacco of choice for professional baseball players. This has been the trend dating back to heavy free sampling and player targeted marketing in the 1970s by the US Tobacco Company.19

While ST use is in many ways tied to the game of baseball, the majority of players continue to use year round, consistent with the fact that many perceived themselves to be addicted and reported other signs of addiction. A majority of the players who used ST showed some interest in quitting. Many cited health concerns, effects on appearance, and the negative impact of their ST use on spouses and children as major reasons for wanting to quit. These findings show the need for continued intervention efforts.

The most frequent reasons players gave for using ST were that it gives them something to do, is a habit or ritual, and that they are addicted. As documented previously, very few players reported that they thought their playing was improved by ST use.20 This is consistent with previous research showing no relation between ST use and motor performance tasks.21
Players who use ST reported that their dentists seldom pointed out lesions or counselled them on ST cessation. Previous research has shown interventions by dentists and dental hygienists in the context of oral health care to be effective in motivating ST cessation. Since a high percentage of daily users of snuff have observable oral lesions, pointing out these lesions provides a ‘teachable moment’ that can result in significant cessation of ST use. A policy of required oral exams and training of team affiliated dentists at the local level could provide an effective channel of intervention.

It is encouraging that most players report interest in quitting and that 50% of players reported one or more serious quit attempts in the past year. But most players at spring training were not ready to quit (in action stages); this is a high pressure time for them as they strive to win promotion to a higher rated team. This and the high rates of year round ST use suggests the need for ST education and treatment efforts that span the entire season and whole year. Recent developments using computer technology may further this goal and they include a web based ST cessation programme sponsored by the National Cancer Institute (chewfree.com), a PBATS sponsored internet programme for athletic trainers at all levels (with CME credit), and computer based games for ST cessation (for example, Chavers Choice).

This study has several limitations. First, it is a sequential cohort study, thus we have no longitudinal data to enable us to look at changes in individual player’s behaviour. Our sample each year is made up of volunteering subjects from the teams and represents fewer than 50% of the players in any year. We could not evaluate the representativeness of our samples; it is possible that tobacco users are either under- or over-represented in the study as participation was voluntary. Also, all data are self reported, and there was no independent verification of ST use.

On the positive side, the repeated assessments under the same conditions at the same time of the year provide a solid basis for estimation of trends and co-variation, and this is the most systematic long term survey of professional baseball players ever conducted. While trends across time are less likely to be affected by low response rate, NSTEP programmes may have contributed to under reporting of use. While baseball players continue to have high levels of ST use, there is some evidence that use among minor league players dropped after players were exposed to an educational programme about the dangers of using ST. Given the prominent place that baseball has in US culture, and the potential influence that players can have on young people who look to them as sports idols, it is important to continue the effort to help players quit, and change the policy and environmental influences that support ST use and association with the culture of baseball. While major league baseball, NSTEP, and the Professional Baseball Athletic Trainers Society have taken major steps to educate players and trainers, and provide oral screening and cessation assistance over the past six years, there remains more work to do. This change in the culture of ST use will be slow but it could be enhanced by enforcing restrictions on players use on the field, and extending these restrictions to major league players as well as minor league players. Such restrictions have so far been resisted by the players union, which sees this as a “player’s right” to use tobacco. It is likely that with increasing environmental restrictions on tobacco use we may see, one day, the baseball field as a “no tobacco zone”.

ACKNOWLEDGEMENTS
We would like to thank the Professional Baseball Athletic Trainers Society (PBATS) for their support in making this research possible. Thanks especially to the PBATS tobacco committee members, Richie Bancells, Ned Bergert, Jeff Cooper, and to the presidents of PBATS during the years these surveys were conducted. Russ Miller, Jamie Reid. Thanks also to Major League Baseball, the National Spit Tobacco Education Program, and Romano and Associates for supporting our work on this project. This research was funded by a grant from the Robert Wood Johnson Foundation No. 040495.

Table 5: Reported exposure to components of NSTEP (percentage of regular ST users)

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<td>Presentation to players</td>
<td>*</td>
<td>38.6</td>
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<td>Cessation counselling</td>
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<td>Oral exams</td>
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*Programme was not available.
† In 2002 participants were asked just one question on whether they had received materials on risk or quitting; this number represents the percentage that responded affirmatively to this question.

Table 6: Smoking in past 30 days: major and minor league players 1998 to 2003

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<td>Smoke cigarettes</td>
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<td>Smoke cigarettes</td>
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The Lighter Side

SPEED BUMP

A PACIFIER? DEAR, ISN’T HE GETTING A LITTLE OLD FOR AN ORAL FIXATION?...

Dave Coverly

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REFERENCES