

## RESEARCH PAPER

# Socioeconomic variations in nicotine dependence, self-efficacy, and intention to quit across four countries: findings from the International Tobacco Control (ITC) Four Country Survey

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**Objective:** To examine the effect of socioeconomic status (SES) on nicotine dependence, self-efficacy, and intention to quit.

**Design setting and participants:** Data were from the first wave (2002) of the International Tobacco Control (ITC) Four Country Survey (ITC-4), a panel study of over 2000 adult smokers from each of four countries: the United States, Canada, the United Kingdom, and Australia. Data were collected via telephone interviews.

**Main outcome measures:** Nicotine dependence, intention to quit, and self-efficacy to quit smoking were the main outcome measures used in this study.

**Results:** Lower levels of education were associated with higher nicotine dependence. The effect of lower income on higher heaviness of smoking index (HSI) scores was significant in Canada, the UK, and Australia. Respondents with low education had 35% larger odds of low self-efficacy than those with high education. Respondents with low education had 40% larger odds of having no intention to quit than those with high education. Respondents with low income had 23% larger odds of having no intention to quit than those with high income. Country was not a moderator of the association of SES with self-efficacy and intention to quit.

**Conclusion:** To the extent that lower SES smokers are more addicted, they are likely to need more intensive support if they are to be successful in their attempts to quit. Given their lower incomes, this places a special responsibility on government to provide or subsidise such services. This should include access to the widest possible range of effective pharmacotherapies complemented with evidence based counselling and support.

Socioeconomic status (SES) is strongly related to smoking behaviour.<sup>1–4</sup> The association is so strong that smoking is regarded as a marker for deprivation<sup>5</sup> and one can identify disadvantaged groups by simply observing their smoking prevalence.<sup>6–7</sup> Not only are social inequalities in smoking prevalence pervasive, but they have been widening in such countries as Australia, the UK, the USA, Spain, Italy, and Denmark in the past few decades.<sup>1–6–8–13</sup> This is to a large extent due to differential cessation rates between socioeconomic groups.<sup>1–14</sup> This is also often partly due to the overall prevalence of smoking dropping, resulting in a lower base case for assessing proportional change. Jarvis reported that while in the UK cessation rate doubled among the most affluent groups, there was very little change among the poor between 1973 and 1996.<sup>1–14</sup> Similarly, there is evidence for widening social inequalities in cessation in Spain in the period 1987 to 1997.<sup>15</sup>

The mechanism of the link between socioeconomic status and cessation has not been adequately explored. Nicotine dependence, self-efficacy, and intention to quit are strong predictors of the propensity to quit and/or successful cessation.<sup>3–16–22</sup> However, the association of SES with these variables has been the subject of few investigations. There are, to our knowledge, only three empirical studies. A British study showed that a composite index of social deprivation (having a manual occupation, not having a car, living in rented housing, being unemployed, and living in crowded conditions) was not associated with a crude measure of desire to quit ("Would you like to give up smoking

altogether?") but was associated with dependence (for example, time to first cigarette of the day, perceived difficulty of going for a whole day without smoking, and plasma cotinine, a quantitative measure of smoke intake).<sup>1</sup> Similarly, an association between education and smoke intake (serum thiocyanate) was reported in a study of smokers in the Czech Republic.<sup>23</sup> Finally, an earlier study documented the relationship between a composite index of social disadvantage and concentrations of saliva and plasma cotinine.<sup>24</sup> To our knowledge there is no published study that analyses the effect of SES on intention to quit or self-efficacy. However, Jarvis and colleagues reported that, in England, social deprivation is associated with hardcore smoking (defined as having less than a day without cigarettes in the past five years, no attempt to give up smoking in the past 12 months, no desire to give up smoking, and no intention to give up smoking).<sup>25</sup> It seems that while there may be a social gradient in intention to quit, the evidence for the association of crude measures of motivation to quit and SES is inconclusive.

The aim of this study was to examine the association of SES with nicotine dependence, intention to quit, and self-efficacy to quit in a population sample of adults in the USA, Canada, the UK, and Australia. We also were interested in the extent to which the relationship between SES and those variables differed as a function of country.

**Abbreviations:** HSI, heaviness of smoking index; ITC-4, International Tobacco Control Four Country Survey; NRT, nicotine replacement therapy; SES, socioeconomic status

## METHODS

We used data from the International Tobacco Control Four Country Survey (ITC-4), which is a panel study of adult smokers ( $\geq 18$  years old) who report having smoked at least 100 cigarettes in their lifetime and who have smoked at least once in the past 30 days in each of four countries: Canada, the United States, the United Kingdom, and Australia. Respondents are participating every year in the two part telephone survey (10 minute recruitment survey, followed by a 40 minute main survey usually conducted one week after the recruitment survey). The ITC-4 cohort was constructed from probability sampling methods with telephone numbers selected at random from the population of each country within strata defined by geographic region and community size. The next birthday method was used to select the respondent in households with multiple smokers. Cooperation rates (the ratio of the number of interviews to the sum of the number of interviews and refusals) were high for a survey of this kind: USA 77.0%, Canada 78.5%, UK 78.7%, Australia 78.8%. A full description of the ITC methodology, sample profile, and survey rates, including comparisons with national benchmarks, is available at <http://www.itcproject.org>. The data reported in this article are from the Wave 1 survey, which was conducted from October to December 2002. The present analysis is limited to daily smokers, whose characteristics are shown in table 1.

Nicotine dependence was measured using the heaviness of smoking index (HSI), a short form of the Fagerstrom tolerance questionnaire.<sup>26–28</sup> HSI scores range from 0–6 and are calculated by summing the points for time to first cigarette after waking and number of cigarettes smoked per day. Time to first cigarette is scored: < 5 mins = 3 points; 6–30 mins = 2 points; 31–60 mins = 1 point; and > 60 mins = 0. Respondents were asked: “On average, how many cigarettes do you smoke each day, including both factory-made and roll-your own cigarettes?” Cigarettes per day is scored: 1–10 = 0 points; 11–20 = 1 point; 21–30 = 2 points; and > 31 = 3 points. Higher HSI scores indicate more dependence on nicotine. Self-efficacy was measured with the question: “If you decided to give up smoking completely in the next 6 months, how sure are you that you would succeed? Not at all sure, slightly sure, moderately sure, very sure or extremely sure”. Respondents who said “not at all sure” were distinguished from others. Intention to quit was measured with the question: “Are you planning to quit smoking: within the next month? Within the next 6 months? Sometime in the future, beyond

6 months? Or not planning to quit.” Those who were not planning to quit were distinguished from others.

Two indicators of SES were available: education and household income. We categorised annual income into “under \$30 000”, “\$30 000–\$59 999”, and “\$60 000 and over” for the US, Canadian, and Australian samples. For the UK sample, we used the following categories: “£15 000 or under”, “£15 001–£30 000”, and “£30 001 and over”. These categorisation schemes resulted in a similar distribution across countries and approximated tertile divisions. Education consisted of three categories: less than high school diploma; high school diploma; and some university or a university degree. We did not combine education and income into a single SES index because they represent different dimensions of SES.<sup>29</sup> Such indices have not been validated and are difficult to interpret.<sup>30</sup>

We used Stata 7 for all statistical analyses.<sup>31</sup> Ordinary least squares estimation was used for the analysis of nicotine dependence, and logistic regression for the analyses related to intention to quit and self-efficacy. In the self-efficacy analysis we controlled for nicotine dependence. In the intention to quit analysis, we controlled for nicotine dependence and self-efficacy to quit.

## RESULTS

Sample characteristics by country are shown in table 1. The USA and Australia had the highest crude HSI level, followed by Canada, and the UK. The UK had the highest proportion of people with low self-efficacy in quitting and no intention to quit. These proportions were similar for the USA and Australia, and the lowest in Canada.

Table 2 shows the results of OLS regression of HSI on covariates. Because of the significant interaction of country with age, education, and income, a separate equation was estimated for each country. Being male and older was associated with higher levels of HSI. Education had a significant impact on HSI in all equations, such that lower levels of education were associated with higher HSI scores. The effect of lower income on higher HSI scores was significant in Canada, the UK, and Australia, but not in the USA. We note that while there is a significant difference between the adjusted mean of HSI between low and high income in the USA, the variable income (as represented by the set of three dummy variables) did not significantly add to the model ( $p = 0.10$ ). We also note that while the coefficient of “no information” in the UK was significant, there was no significant difference ( $p = 0.447$ ) between HSI

**Table 1** Sample characteristics by country (weighted data)

Variables	USA n = 1934	Canada n = 2000	UK n = 2205	Australia n = 2066
% female	46.5	45.9	49.3	44.8
Age				
18–24	14.9	13.8	13.9	16.8
25–39	30.4	32.6	32.6	34.9
40–54	36.8	35.6	28.9	32.8
55+	17.9	18.0	24.6	15.4
Education				
Low	12.6	17.2	39.8	43.9
Medium	33.5	31.8	25.1	25.3
High	53.8	50.9	35.2	30.7
Income				
Low	35.7	27.6	28.4	26.3
Medium	35.2	36.7	34.3	34.7
High	21.3	27.0	27.5	33.1
No information	7.7	8.7	9.8	5.9
Mean HIS	3.0	2.9	2.7	3.0
% with low self-efficacy to quit	27.5	19.3	39.4	28.8
% with no intention to quit	25.6	18.5	35.3	25.0

HSI, heavy smoking index.

**Table 2** Adjusted  $\beta$  coefficients and standard errors from the regression of heaviness of smoking index on socioeconomic status indicators and other covariates

Covariates	USA	Canada	UK	Australia
Female	-0.39 (0.07)**	-0.30 (0.06)**	-0.38 (0.06)**	-0.30 (0.07)**
Age (years)				
18-24	0	0	0	0
25-39	0.43 (0.11)**	0.46 (0.10)**	0.50 (0.12)**	0.52 (0.10)**
40-54	0.86 (0.11)**	1.00 (0.10)**	0.78 (0.12)**	0.91 (0.10)**
55+	0.87 (0.12)**	0.62 (0.11)**	0.70 (0.12)**	1.09 (0.12)**
No. of smokers in household	0.29 (0.05)**	0.10 (0.05)*	0.19 (0.05)**	0.18 (0.05)**
Education				
Low	0.46 (0.11)**	0.64 (0.09)**	0.39 (0.07)**	0.52 (0.08)**
Medium	0.16 (0.08)*	0.44 (0.07)**	0.29 (0.08)**	0.15 (0.09)
High	0	0	0	0
Income				
Low	0.22 (0.10)*	0.44 (0.09)**	0.25 (0.08)**	0.46 (0.09)**
Medium	0.07 (0.10)	0.21 (0.08)*	0.23 (0.08)**	0.09 (0.08)
High	0	0	0	0
No information	0.03 (0.15)	0.10 (0.13)	0.26 (0.12)*	0.16 (0.15)

\*p<0.05; \*\*p<0.01.

scores of respondents who provided and those who did not provide information on their income, after controlling for other covariates.

We substituted HSI with time to first cigarette after waking and found that none of our conclusions were altered as the result. We found a similar pattern and strength of relationship between these variables and SES.

Table 3 shows the results of logistic regressions for predicting the probability of low self-efficacy to quit and no intention to quit. There were no significant interactions and only one equation was estimated for each outcome. Being female was associated with higher odds of low self-efficacy and lower odds of having no intention to quit. Being older was associated with higher odds of low self-efficacy and having no intention to quit. Lower education had a significant association with having low self-efficacy and no intention to quit. Low income was associated with having no intention to quit. We note that while the coefficient of “no information” in the intention to quit analysis was significant, there was no significant difference ( $p = 0.06$ ) in the

probability of intention to quit between respondents who provided and those who did not provide information on their income, after controlling for other covariates.

We tested for linear trend of age, education, and income by including them as continuous variables in all regression models. This did not change any of our conclusions about the relationship between these variables and the outcomes.

**DISCUSSION**

This study is among the first to demonstrate the strong relationship between SES and behavioural and psychological predictors of cessation across different countries. Our findings revealed that lower SES was associated with higher levels of nicotine dependence, having low self-efficacy to quit, and having no intention to quit, in the USA, Canada, UK, and Australia. While country moderated the effect of SES on nicotine dependence, the conclusion that lower SES groups are more addicted to nicotine holds in all four countries. The effect of SES on self-efficacy was independent of nicotine dependence. The effect of SES on intention to quit was independent of nicotine dependence and self-efficacy to quit. We note the universality of our findings across the four study countries and hypothesise that similar social variations exist in other high income countries.

Higher levels of dependence among lower SES groups may be due to the association of social disadvantage with financial and psychological stress on the one hand,<sup>6 32</sup> and the fact that most smokers attribute their smoking to its alleged anxiolytic properties on the other.<sup>33</sup> In this account, lower SES smokers experience more stress in their daily lives, smoke heavier as a result, and are thus more dependent on nicotine. The association of SES with low self-efficacy and having no intention to quit may be due to social factors. Sorenson and colleagues reported that blue collar workers, compared to other workers, experience less social pressure to quit and less social support for quitting, which in turn are associated with low self-efficacy and having no intention to quit smoking.<sup>34</sup>

The findings suggest that nicotine dependency, intention to quit, and self-efficacy can explain part of the reason cessation rates are lower among lower SES groups. However, the extent to which these variables mediate the impact of SES on cessation should be empirically assessed in future research. We particularly note the complexity of the relationship between SES, intention to quit and cessation. Supplementary analyses of our data suggest that while education was related to the number of previous quit attempts, neither education nor income were related to having made a recent quit attempt (in the past six

**Table 3** Adjusted odds ratios and 95% confidence intervals from logistic regression of the probability of having low self-efficacy and having no intention to quit on socioeconomic status indicators and other covariates

Covariates	Low self-efficacy	No intention to quit
Female	1.27 (1.15 to 1.41)	0.86 (0.77 to 0.96)
Age		
18-24	1	1
25-39	1.38 (1.15 to 1.66)	1.13 (0.93 to 1.38)
40-54	1.74 (1.45 to 2.08)	1.84 (1.52 to 2.22)
55+	1.95 (1.61 to 2.37)	3.19 (2.62 to 3.89)
HIS	1.33 (1.28 to 1.38)	1.18 (1.13 to 1.22)
Low self-efficacy	-	1.01 (1.00 to 1.02)
Country		
USA	1	1
Canada	0.64 (0.55 to 0.74)	0.65 (0.56 to 0.77)
UK	1.70 (1.47 to 1.96)	1.51 (1.30 to 1.75)
Australia	0.96 (0.83 to 1.12)	0.94 (0.80 to 1.10)
Education		
Low	1.35 (1.19 to 1.53)	1.40 (1.23 to 1.60)
Medium	1.02 (0.90 to 1.16)	1.20 (1.06 to 1.37)
High	1	1
Income		
Low	1.03 (0.90 to 1.19)	1.23 (1.06 to 1.42)
Medium	0.95 (0.83 to 1.08)	1.07 (0.93 to 1.23)
High	1	1
No information	0.96 (0.78 to 1.19)	1.34 (1.08 to 1.66)

months and one year). This indicates that the effect of intention to quit on cessation might vary by SES, and that the SES differences in intention might not translate into differences in the probability of making quit attempts (at least unsuccessful ones). Although it is not possible to address these issues adequately with cross sectional data, it will be possible to do so with longitudinal data from the ITC-4.

Social variations in dependency, intention to quit, and self-efficacy indicate that population level interventions may be less effective for lower strata, and thus targeted interventions may be essential to reduce the disparity of cessation rates across socioeconomic groups. Targeting will need to focus on the intensity of cessation services offered, but should also be considered in design of motivational campaigns. In particular it may be important to ensure that smokers exposed to motivational advertising in mass media be reminded that there are effective cessation aids available, and be encouraged to use them. However, unless there are adequate resources available, either free or at prices the poor can afford, low SES smokers (in particular) will be being urged to engage in behaviour change without the necessary resources to do so. The resources provided should include both pharmaceutical aids and effective counselling and advice programmes. Similarly, variations in dependency indicate that lower SES groups may require more intensive support for quitting. In particular they would benefit from a wider use of proven pharmacotherapies such as nicotine replacement therapies (NRT) and bupropion, which are shown to significantly increase the success of quit attempts.<sup>35–36</sup> NRT is most helpful for nicotine dependent smokers, who smoke 15 or more cigarettes per day.<sup>37</sup> We suggest that it would be beneficial for physicians to recommend NRT to their lower SES patients with high nicotine dependence.

Given that pharmacological aids are usually costly and that compliance and outcomes appear to be better when the smoker does not have to pay for NRT,<sup>38</sup> we recommend that governments subsidise the cost of pharmacotherapies for people with insufficient means. This recommendation is further supported by supplementary analyses of our data, which revealed that respondents with lower levels of education and income were significantly more likely to agree that “stop-smoking medications are too expensive” (in all four countries), and “stop-smoking medications are too hard to get” (in all countries except Australia). Furthermore, among smokers who intended to quit, those with lower education and income were more likely to report that “free or lower-cost stop-smoking medication” had led them to think about quitting, in all four countries. It is noteworthy that these findings reflect the broader social inequities in access to and availability of health promoting services and health care.<sup>39</sup>

Finally, in light of the research that adding behavioural counselling to NRT increases cessation rates,<sup>35–36</sup> we recommend facilitating and providing behavioural support for lower SES smokers who attempt to quit. In order to rectify the current inequities in quitting (and associated inequities in smoking related morbidity and mortality), it will be necessary to provide lower SES smokers with the kinds of treatment options that are known to be effective and to actively encourage such options.

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## What this paper adds

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Our findings revealed that lower SES was associated with higher levels of nicotine dependence, having low self-efficacy to quit, and having no intention to quit, in the USA, Canada, UK, and Australia. To the extent that lower SES smokers are more addicted, they are likely to need more intensive support if they are to be successful in their attempts to quit. Given their lower incomes, this places a special responsibility on government to provide or subsidise such services.

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